

# Return On Investment (ROI)

Prepared By:

Date:

## 1 Client Information

Client \_\_\_\_\_ Spouse/Partner \_\_\_\_\_  
DOB \_\_\_\_\_ DOB \_\_\_\_\_

## 2 Account Statement Summary

Statement Date \_\_\_\_\_ Statement Value \$ \_\_\_\_\_

## 3 Lump Sum Transaction 1

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

Transaction Date \_\_\_\_\_

## 4 Lump Sum Transaction 2

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

Transaction Date \_\_\_\_\_

## 5 Lump Sum Transaction 3

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

Transaction Date \_\_\_\_\_

## 6 Lump Sum Transaction 4

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

Transaction Date \_\_\_\_\_

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## 7 PAC / SWP Transaction 1

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

First Process Date \_\_\_\_\_

Last Process Date \_\_\_\_\_

## 8 PAC / SWP Transaction 2

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

First Process Date \_\_\_\_\_

Last Process Date \_\_\_\_\_

## 9 PAC / SWP Transaction 3

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

First Process Date \_\_\_\_\_

Last Process Date \_\_\_\_\_

## 10 PAC / SWP Transaction 4

Notes \_\_\_\_\_

Type: Deposit  Withdrawal  Value \$ \_\_\_\_\_

First Process Date \_\_\_\_\_

Last Process Date \_\_\_\_\_

\*Add a second questionnaire if additional transactions are required.