

Return On Investment (ROI)

Prepared By:

Date:

1 Client Information

Client _____ Spouse/Partner _____
DOB _____ DOB _____

2 Account Statement Summary

Statement Date _____ Statement Value \$ _____

3 Lump Sum Transaction 1

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

Transaction Date _____

4 Lump Sum Transaction 2

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

Transaction Date _____

5 Lump Sum Transaction 3

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

Transaction Date _____

6 Lump Sum Transaction 4

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

Transaction Date _____

Return On Investment (ROI)

7 PAC / SWP Transaction 1

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

First Process Date _____ Last Process Date _____

8 PAC / SWP Transaction 2

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

First Process Date _____ Last Process Date _____

9 PAC / SWP Transaction 3

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

First Process Date _____ Last Process Date _____

10 PAC / SWP Transaction 4

Notes _____

Type: Deposit ☐ Withdrawal ☐ Value \$ _____

First Process Date _____ Last Process Date _____

*Add a second questionnaire if additional transactions are required.

